

2021 VIRTUAL

Tax + Accounting Conference

SEPTEMBER 21 // SEPTEMBER 28 // OCTOBER 5

Sponsorship Contract

TERMS AND CONDITIONS

Based on mutual consideration, this sponsorship contract will serve as a binding agreement between the Investment Company Institute (ICI) and the sponsor with respect to agreed-upon sponsorship opportunities at ICI's 2021 virtual Tax and Accounting Conference (TAC) (*the conference or TAC*) to be held September 21, 28, and October 5, 2021. An authorized agent of the sponsoring firm acknowledges and accepts the following terms and conditions of this contract by completing the sponsorship registration on the TAC website and indicating the specific sponsorship slot requested. The sponsor will be provided with recognition and benefits described in ICI's 2021 virtual Tax and Accounting Conference (TAC) Sponsorship Opportunities and Benefits document for the applicable slot.

ACCEPTANCE

All sponsorship slots are available on a first-come, first-served basis. ICI has the absolute right to limit the number of total sponsors and to select sponsors at its sole discretion. Acceptance of a sponsorship request by ICI is based upon slot availability at the time of receipt of the completed website registration process. Sponsors have no right or authority to make any determinations with respect to the conduct, participants, presenters, display, performance, content, setup, design, quantity, or any aspect of the conference or sponsored event or item.

PAYMENT

The following payment conditions apply:

- » The sponsorship fee must be received two weeks after registering for the sponsorship.

ICI will issue the sponsoring firm an invoice and sponsorship fee can be paid via check or online with a credit card. If the sponsorship fee is not received by the date outlined on the invoice, the requested sponsorship slot will be released for resale and no previously paid monies will be refunded.

Please send all check payments by mail and specify 2021 TAC Sponsorship [Name of Sponsorship].

Investment Company Institute
PO Box 759456
Baltimore, MD 21275-9456

CANCELLATIONS

Any cancellations must be sent via email to Pete Bockelman at pete.bockelman@ici.org. Cancellations received before August 24, 2021, will receive a 50 percent refund of the monies paid to date less the \$1,000 nonrefundable registration deposit. There will be no refunds issued for cancellations received on or after August 24, 2021.

COSPONSORING

Sharing sponsorship slots is permitted upon approval by ICI. Please contact Pete Bockelman via email at pete.bockelman@ici.org if you feel a shared sponsorship opportunity is appropriate.

RIGHT OF FIRST REFUSAL

ICI intends to host future events and provide additional opportunities for sponsorship. The parties acknowledge and agree that ICI is under no obligation to host any future events. In recognition of the sponsor's support of the event, ICI agrees that with respect to the event sponsored by ICI in the following year, ICI grants sponsor the right of first refusal over all other potential sponsors for the same sponsorship of the same event, in the subsequent year. Sponsors are eligible for this right of first refusal to renew sponsorship for ICI's annual conference if the sponsorship amounts to \$1,000 or more; however, the benefits and consideration of the sponsorship may be renegotiated as necessary. Sponsor shall have 30 days following the conclusion of the event to elect to renew its sponsorship for the following year. If sponsor fails to notify ICI of its intention to renew its sponsorship within the 30-day period, ICI reserves the right to open the sponsorship opportunity to the public without providing prior notice to sponsor.

ATTENDEE SOLICITATION

Sponsors will receive a copy of the current attendee lists in August 2021. If the sponsoring company has not submitted final payment by this time, no lists will be issued. Please note that only attendees who wish to share their contact information will be on the lists provided. Use of these lists is for preconference solicitation only. No separate solicitation of attendees of past ICI meetings is permitted. Sponsors may contact attendees from past meetings only by receiving prior written permission from ICI. If there are any blind or mass marketing solicitations sent out separately from use of the authorized current attendee list, such action will be cause for immediate cancellation of sponsorship participation and possible denial of sponsorship participation at future events.

SPONSORSHIP ATTENDEE PASSES AND REGISTRATION

Sponsors receive the number of complimentary conference passes allotted to a given sponsorship slot as defined in the Sponsorship Opportunities and Benefits document. Additional attendee passes may be purchased. If sponsorship qualifies for complimentary attendee registrations, the firm will receive a code to use when registering. Please note that attendee registration is separate from sponsorship registration. All sponsorship representatives must be registered online through the TAC website. Registration will open in August 2021.

INDEMNIFICATION

Sponsor hereby agrees to indemnify, defend, reimburse, and hold harmless ICI and its respective officers, directors, employees, volunteers, and representatives against any and all claims, loss, damage, or expense (including attorneys' fees) that may arise in connection with or be asserted against, resulting from, imposed upon, incurred, or suffered as a result of sponsor's participation in the conference.

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ICI shall have discretion in the interpretation and enforcement of the terms and conditions in this contract and all aspects of the conference, and the power to make, from time to time, such reasonable amendments thereto and to establish such further terms and conditions as it shall consider necessary for the proper conduct of the conference, including the sponsorship opportunity, provided such new terms and conditions do not materially alter or diminish the contractual rights of sponsorship. All matters, issues, or questions not covered by the Terms and Conditions are subject to the sole discretion of ICI.

I have read the above contract and agree to abide by the Terms and Conditions governing participation in the conference.

SPONSOR (COMPANY NAME):

BY (SIGNATURE):

PRINT NAME AND TITLE:

DATE:

A copy of this signed agreement must be submitted within 48 hours of sponsorship registration.

Accepted by ICI

BY (SIGNATURE):

PRINT NAME AND TITLE:

DATE:

Return sponsorship contract to:

Pete Bockelman

pete.bockelman@ici.org