

2020 VIRTUAL

Securities Law Developments Conference

Sponsored by the ICI Education Foundation

SEPTEMBER 24 // OCTOBER 1

Sponsorship Contract

TERMS AND CONDITIONS

Based on mutual consideration, this sponsorship contract will serve as a binding agreement between the Investment Company Institute (ICI) and the sponsor with respect to agreed-upon sponsorship opportunities at the 2020 Securities Law Developments Virtual Conference, to be held September 24 and October 1, 2020. An authorized agent of the sponsoring firm acknowledges and accepts the following terms and conditions of this contract by signing below.

ACCEPTANCE

ICI has the absolute right to limit the number of total sponsors and/or to select sponsors at its sole discretion. Acceptance of a sponsorship request by ICI is based upon full completion of website registration process. Sponsors have no right or authority to make any determinations with respect to the conduct, participants, presenters, display, performance, content, setup, or any aspect of the conference.

PAYMENT

The following payment conditions apply:

- » A payment of \$6,000—made online or by check, payable to ICI—must be received within three weeks of registration.
- » If the participating firm wishes to cancel before **September 1**, ICI will refund all submitted monies, minus a \$1,000 administration fee. There will be no refunds after **September 1**. All cancellations must be received in writing.

By Mail:

Investment Company Institute
PO Box 759456
Baltimore, MD 21275-9456

By Overnight Service:

Investment Company Institute
7175 Columbia Gateway Drive
Columbia, MD 21046
Attn: Lockbox 759456

SPONSOR ATTENDEE PASSES AND REGISTRATION

Sponsors will receive two (2) complimentary conference passes as defined in the Sponsorship Events and Benefits document. ICI will give each sponsoring company a discount code to be entered into the online registration module, which will enable two attendees to register without payment. Complimentary passes must be used by **September 24, 2020**. Additional attendees must register themselves; additional registrations may be purchased through the ICI website.

INDEMNIFICATION

Sponsor hereby agrees to indemnify, defend, reimburse, and hold harmless ICI and its respective officers, directors, employees, volunteers, and representatives against any and all claims, loss, damage, or expense (including attorneys' fees) that may arise in connection with or be asserted against, resulting from, imposed upon, incurred, or suffered as a result of sponsor's participation in the conference.

TERMS AND CONDITIONS

ICI shall have discretion in the interpretation and enforcement of the terms and conditions in this contract and all aspects of the conference, and the power to make, from time to time, such reasonable amendments thereto and to establish such further terms and conditions as it shall consider necessary for the proper conduct of the conference, including the sponsor opportunity, provided such new terms and conditions do not materially alter or diminish the contractual rights of sponsor. All matters, issues, or questions not covered by the Terms and Conditions are subject to the sole discretion of ICI.

I have read the above contract and agree to abide by the Terms and Conditions governing participation in the conference.

SPONSOR (COMPANY NAME):

BY (SIGNATURE):

PRINT NAME AND TITLE:

DATE:

A copy of this signed agreement must be submitted within 48 hours of sponsorship registration.

Accepted by ICI

BY (SIGNATURE):

PRINT NAME AND TITLE:

DATE:

Return sponsorship contract to:

Pete Bockelman

pete.bockelman@ici.org