



COMMENT LETTER

March 15, 2024

ICI Follow-Up Comment Letter on Proposed Amendments to FINRA Rule 2210

In late February, FINRA proposed to further amend Rule 2210 (Communications with the Public) (the "rule"). The amended proposal would permit a FINRA member to project performance or provide a targeted return with respect to a security or asset allocation or other investment strategy in an institutional communication (or a communication distributed solely to qualified purchasers or "knowledgeable employees" that promotes or recommends specified non-public offerings), subject to several investor protection-oriented conditions.

ICI filed a follow-up comment letter (linked below), reiterating comments made in our December letter and supporting SEC approval of the amended proposal.

Read more in the [comment letter](#).

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