

November 20, 2019

# Fall Update on BDAC & BTRAC

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# Speakers

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» **Jeff Naylor**  
Director, ICI

» **Stuart Bateman**  
Senior Vice President,  
Franklin Templeton

» **Teresa Sloan-Yancik**  
Senior Operations  
Manager, Vanguard

» **Kyle Schmiegel**  
Vice President,  
Charles Schwab

» **Lisa Borth**  
Director,  
AllianceBernstein

» **Erik Depalma**  
Senior Director, Fidelity  
Investments

» **Allyn Jones**  
Senior Vice President,  
Fidelity Workplace

» **Derrick Mosley**  
Senior Relationship  
Manager, Franklin  
Templeton

» **John Randall**  
Director, ICI

# BDAC & BTRAC

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- » Broker/Dealer Advisory Committee (BDAC) & Bank, Trust and Retirement Advisory Committee (BTRAC) focus on improving:
  - » Distribution
  - » Operational processing
  - » Service and support of mutual funds products

# 2019 Highlights

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Conversation with committee chairs

# 2019 Deep Look

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- » Interval Funds
- » Mutual Fund Information Exchange (MFIIX)
- » Large Trade Notification Tool

# Interval Funds

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- » AUM is up
- » Increased demand presents challenges
- » White paper published ([Click here for paper](#))
- » Next steps

# Mutual Fund Information Exchange

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- »Event notifications
- »Roadmap
- »Best practices
- »Future features

# Large Trade Notification Tool

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- »Current state
- »Part of MFIX
- »Best practices
- »Adoption



# Questions ?

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# Thank You for Participating

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