

# 23 SECLAW

## SECURITIES LAW DEVELOPMENTS CONFERENCE

OCTOBER 24 & 26 | VIRTUAL

### SPONSORSHIP CONTRACT

#### TERMS AND CONDITIONS

Based on mutual consideration, this sponsorship contract will serve as a binding agreement between the Investment Company Institute (ICI) and the sponsor with respect to agreed-upon sponsorship opportunities at the virtual 2023 Securities Law Developments Conference, to be held October 24 and 26, 2023. An authorized agent of the sponsoring firm acknowledges and accepts the following terms and conditions of this contract by signing below.

#### ACCEPTANCE

ICI has the absolute right to limit the number of total sponsors and/or to select sponsors at its sole discretion. Acceptance of a sponsorship request by ICI is based upon full completion of website registration process. Sponsors have no right or authority to make any determinations with respect to the conduct, participants, presenters, display, performance, content, setup, or any aspect of the conference.

#### PAYMENT

The following payment conditions apply:

- » A payment made online or by check, payable to ICI.
- » The sponsorship fee must be received by September 13, 2023.

ICI will issue the sponsoring firm an invoice and the sponsorship fee can be paid via check, wire transfer, or online with a credit card. If the final balance is not received by September 13, 2023, the requested sponsorship slot will be released for resale and no previously paid monies will be refunded.

#### By mail:

Investment Company Institute  
P.O. Box 23543  
New York, NY 10087-3543

#### ACH/Wire Transfer:

Bank: JP Morgan Chase  
New York, NY 100178

Account number: 850589059  
Routing number: 021000021  
Swift Code: CHASUS33 (International)

## SPONSOR ATTENDEE PASSES AND REGISTRATION

Sponsors will receive two (2) complimentary conference passes as defined in the Sponsorship Events and Benefits document. ICI will give each sponsoring company a discount code to be entered into the online registration module, which will enable two attendees to register without payment. Complimentary passes must be used by October 10, 2023. Additional attendees must register themselves; additional registrations may be purchased through ICI's website.

## INDEMNIFICATION

Sponsor hereby agrees to indemnify, defend, reimburse, and hold harmless ICI and its respective officers, directors, employees, volunteers, and representatives against any and all claims, loss, damage, or expense (including attorneys' fees) that may arise in connection with or be asserted against, resulting from, imposed upon, incurred, or suffered as a result of sponsor's participation in the conference.

## TERMS AND CONDITIONS

ICI shall have discretion in the interpretation and enforcement of the terms and conditions in this contract and all aspects of the conference, and the power to make, from time to time, such reasonable amendments thereto and to establish such further terms and conditions as it shall consider necessary for the proper conduct of the conference, including the sponsor opportunity, provided such new terms and conditions do not materially alter or diminish the contractual rights of sponsor. All matters, issues, or questions not covered by the Terms and Conditions are subject to the sole discretion of ICI.

I have read the above contract and agree to abide by the terms and conditions governing participation in the conference.

SPONSOR (COMPANY NAME):

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BY (SIGNATURE):

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PRINT NAME AND TITLE:

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DATE:

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A copy of this signed agreement must be submitted within 48 hours of sponsorship registration.

## ACCEPTED BY ICI

BY (SIGNATURE):

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PRINT NAME AND TITLE:

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DATE:

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Return sponsorship contract to:

Pete Bockelman  
Investment Company Institute  
[pete.bockelman@ici.org](mailto:pete.bockelman@ici.org)