

TRADING 101 WEBINAR

SPEAKER BIOGRAPHIES

ARI BURSTEIN

Ari Burstein is Senior Counsel of the Securities Regulation – Capital Markets group of the Investment Company Institute (ICI). In his role as Senior Counsel, Mr. Burstein is responsible for securities regulation issues impacting investment companies and investment advisers, particularly trading and brokerage related issues, money market fund issues, issues relating to fixed-income securities and the corporate and municipal bond markets, and issues relating to variable insurance products.

Mr. Burstein joined ICI's legal staff in October 1998. Previously, he was an attorney in the U.S. Securities and Exchange Commission's Division of Investment Management from 1997 to 1998 and the Division of Market Regulation from 1992 to 1997.

Mr. Burstein graduated from The George Washington University National Law Center in Washington, DC and from Queens College in New York. He also attended the University of Pennsylvania's Wharton School of Business.

ROBERT L. D. COLBY

Robert L. D. Colby is Counsel in the Washington, DC office of Davis Polk & Wardwell LLP, advising on regulatory requirements for broker-dealers, markets, central counterparties and depositories, transfer agents, rating agencies, and on market participants' trading practices.

Before joining Davis Polk in 2009, Mr. Colby served as Deputy Director of the U.S. Securities and Exchange Commission's Division of Trading and Markets, where he shared responsibility for the regulation and oversight of securities firms, clearing organizations, and the U.S. securities markets. Previously, he was Chief Counsel of the Division and Branch Chief of the Division's Office of Market Structure.

KEVIN CRONIN

Kevin Cronin is Global Head of Trading at Atlanta-based INVESCO PLC. He joined INVESCO's AIM division in 1997 as Head of Listed Equity Trading. He was subsequently promoted to Head of U.S. Trading and then Director of Trading for AIM. Prior to joining AIM, Mr. Cronin was Director of Equity Trading for First Union, in Charlotte, North Carolina. While at First Union, Mr. Cronin also was responsible for managing the Enhanced Index Fund. Prior to joining First Union, Mr. Cronin was a Senior Equity Trader with Trusco Capital Management in Atlanta.

Mr. Cronin, a CFA designee, earned a BA from Indiana University in Bloomington and an MBA from Vanderbilt University in Nashville, Tennessee. He is a member of the Houston Society of Financial Analysts, the Dallas Security Traders Association, and Investment Company Institute's Equity Markets Advisory Committee. He is also the past Chairman of the New York Stock Exchange's Institutional Traders Advisory Committee.