

INVESTMENT COMPANY INSTITUTE

CLOSED-END FUNDS

2006:Q3

Table 1

Total Net Assets

Millions of dollars, end of period

Period	Total	Investment Objective						Memo: Preferred Share Classes		
		Equity Funds			Bond Funds					
		Total	Domestic	Global/ Internat'l	Total	Domestic Taxable	Domestic Municipal		Global/ Internat'l	
Annual										
1998	155,815	47,606	22,529	25,077	108,209	34,127	63,628	10,454	19,674	
1999	147,016	41,267	24,696	16,571	105,749	30,888	64,513	10,348	22,589	
2000	143,134	36,611	24,557	12,054	106,523	28,581	68,266	9,676	24,954	
2001	141,250	31,075	22,261	8,814	110,175	26,606	74,467	9,102	27,879	
2002	158,805	33,724	26,596	7,128	125,081	25,643	90,024	9,414	36,125	
2003	214,089	52,295	42,263	10,032	161,794	56,153	94,102	11,539	48,899	
2004	254,295	81,507	62,942	18,565	172,788	65,049	94,884	12,855	58,834	
2005	276,329	104,742	76,278	28,464	171,587	64,276	94,606	12,705	59,981 R	
Quarterly										
2003	Q1	165,107	33,864	27,067	6,797	131,243	30,986	90,444	9,813	37,981
	Q2	188,714	39,575	31,682	7,893	149,139	46,906	91,739	10,494	42,000
	Q3	202,512	45,130	36,401	8,729	157,382	54,021	92,484	10,877	47,459
	Q4	214,089	52,295	42,263	10,032	161,794	56,153	94,102	11,539	48,899
2004	Q1	232,840	66,466	52,870	13,596	166,374	60,036	94,654	11,684	52,207
	Q2	234,915	70,624	55,565	15,059	164,291	60,989	92,073	11,229	58,204
	Q3	243,603	74,225	57,841	16,384	169,378	62,522	94,768	12,088	58,544
	Q4	254,295	81,507	62,942	18,565	172,788	65,049	94,884	12,855	58,834
2005	Q1	259,449	87,802	66,325	21,477	171,647	64,733	94,253	12,661	58,477
	Q2	269,574	95,112	71,992	23,120	174,462	65,612	96,009	12,841	59,295
	Q3	275,941	102,763	75,475	27,288	173,178	65,112	95,202	12,864	59,982
	Q4	276,329	104,742	76,278	28,464	171,587	64,276	94,606	12,705	59,981
2006	Q1	280,053 R	108,937 R	78,337 R	30,600	171,116	64,696 R	93,705 R	12,715	59,982 R
	Q2	280,162 R	106,955 R	76,946 R	30,009	173,207	66,374	93,361	13,472	61,060 R
	Q3	285,816	110,423	77,962	32,461	175,393	67,025	94,667	13,701	60,807

Note: Components may not sum to total because of rounding.

Table 2
Number of Funds

End of period

Period	Total	Investment Objective							Memo: Number of Funds with Preferred Share Classes	
		Equity Funds			Bond Funds					
		Total	Domestic	Global/ Internat'l	Total	Domestic Taxable	Domestic Municipal	Global/ Internat'l		
Annual										
1998	493	128	44	84	365	123	211	31	186	
1999	512	124	49	75	388	117	241	30	209	
2000	482	123	53	70	359	109	220	30	207	
2001	493	116	51	65	377	109	240	28	238	
2002	545	123	63	60	422	105	292	25	294	
2003	586	130	74	56	456	131	298	27	346	
2004	619	157	95	62	462	137	295	30	378	
2005	633	191	119	72	442	131	280	31	368	
Quarterly										
2003	Q1	549	122	63	59	427	109	293	25	317
	Q2	555	122	65	57	433	119	289	25	325
	Q3	575	126	70	56	449	128	295	26	339
	Q4	586	130	74	56	456	131	298	27	346
2004	Q1	596	141	82	59	455	131	297	27	358
	Q2	608	149	88	61	459	134	297	28	376
	Q3	609	151	90	61	458	134	295	29	376
	Q4	619	157	95	62	462	137	295	30	378
2005	Q1	625	164	100	64	461	137	294	30	378
	Q2	635	178	110	68	457	134	293	30	381
	Q3	640	185	115	70	455	132	293	30	381
	Q4	633	191	119	72	442	131	280	31	368
2006	Q1	628	189	118	71	439	133	275	31	361
	Q2	635	192	120	72	443	134	276	33	364 R
	Q3	640	196	120	76	444	134	277	33	364

Table 3

Share Issuance¹

Millions of dollars, end of period

Period	Total	Investment Objective							
		Equity Funds			Bond Funds				
		Total	Domestic	Global/ Internat'l	Total	Domestic Taxable	Domestic Municipal	Global/ Internat'l	
Annual									
2002	24,912	9,210	9,192	18	15,702	2,309	13,392	0	
2003	40,965	10,765	10,604	161	30,200	26,171	2,996	1,033	
2004	27,866	21,226	15,424	5,802	6,640	5,608	4	1,028	
2005	21,241	19,162	12,534	6,628	2,079	1,925	31	123	
Quarterly									
2003	Q1	6,299	1,140	1,100	40	5,159	4,505	654	0
	Q2	15,660	2,210	2,161	49	13,450	13,446	3	1
	Q3	13,364	4,183	4,183	0	9,181	7,061	1,705	415
	Q4	5,642	3,232	3,160	72	2,410	1,159	634	617
2004	Q1	13,552	11,376	8,391	2,985	2,176	2,152	3	21
	Q2	6,287	4,648	3,120	1,528	1,639	1,437	1	201
	Q3	3,136	2,341	1,799	542	795	329	0	466
	Q4	4,891	2,861	2,114	747	2,030	1,690	0	340
2005	Q1	9,247	7,813	5,227	2,586	1,434	1,431	0	3
	Q2	5,271	5,158	3,942	1,216	113	82	31	0
	Q3	4,848	4,679	2,142	2,537	169	169	0	0
	Q4	1,875	1,512	1,223	289	363	243	0	120
2006	Q1	1,583 R	601 R	310 R	291	982	785	196	1
	Q2	2,509 R	1,436 R	501 R	935	1,073	741	0	332
	Q3	1,016	1,014	17	997	2	1	0	1

¹ Net proceeds from underwritings, additional offerings, and other issuance.

Table 4
Number of Shareholders

End of period

Period	Total	Investment Objective							
		Equity Funds			Bond Funds				
		Total	Domestic	Global/ Internat'l	Total	Domestic Taxable	Domestic Municipal	Global/ Internat'l	
Annual									
2002	3,815,788	1,170,213	915,559	254,654	2,645,575	952,059	1,477,431	216,085	
2003	4,455,751	1,315,110	1,065,037	250,073	3,140,641	1,370,588	1,501,060	268,993	
2004	5,101,880	1,575,117	1,283,722	291,395	3,526,763	1,484,217	1,562,727	479,819	
2005	5,147,658 R	1,751,755 R	1,443,863 R	307,892	3,395,903	1,472,990 R	1,538,784 R	384,129 R	
Quarterly									
2003	Q1	3,928,156	1,151,449	904,945	246,504	2,776,707	1,096,787	1,460,662	219,258
	Q2	4,029,184	1,168,819	909,936	258,883	2,860,365	1,180,655	1,463,348	216,362
	Q3	4,188,924	1,208,489	968,186	240,303	2,980,435	1,270,983	1,473,362	236,090
	Q4	4,455,751	1,315,110	1,065,037	250,073	3,140,641	1,370,588	1,501,060	268,993
2004	Q1	4,746,059	1,471,853	1,206,970	264,883	3,274,206	1,378,081	1,556,973	339,152
	Q2	4,781,745	1,509,397	1,228,079	281,318	3,272,348	1,388,330	1,555,612	328,406
	Q3	4,853,421	1,527,439	1,246,323	281,116	3,325,982	1,433,177	1,551,908	340,897
	Q4	5,101,880	1,575,117	1,283,722	291,395	3,526,763	1,484,217	1,562,727	479,819
2005	Q1	5,057,103	1,606,843	1,314,773	292,070	3,450,260	1,473,931	1,572,681	403,648
	Q2	5,038,386	1,661,674	1,368,924	292,750	3,376,712	1,424,800	1,562,584	389,328
	Q3	5,119,070	1,740,805	1,439,707	301,098	3,378,265	1,442,026	1,549,112	387,127
	Q4	5,147,658 R	1,751,755 R	1,443,863 R	307,892	3,395,903 R	1,472,990 R	1,538,784 R	384,129 R
2006	Q1	5,165,152 R	1,753,939	1,427,692	326,247 R	3,411,213 R	1,477,961 R	1,534,976 R	398,276
	Q2	5,117,927	1,730,875	1,433,522 R	297,353	3,387,052 R	1,471,519	1,519,894 R	395,639 R
	Q3	5,083,231	1,699,510	1,381,382	318,128	3,383,721	1,468,343	1,511,231	404,147