

INVESTMENT COMPANY INSTITUTE

CLOSED-END FUNDS

2004:Q3

Table 1

Total Net Assets

Millions of dollars, end of period

Period	Total	Investment Objective							Memo: Preferred Share Classes
		Equity Funds			Bond Funds				
		Total	Domestic	Global/ Internat'l	Total	Domestic Taxable	Domestic Municipal	Global/ Internat'l	
Annual									
1997	151,844	49,625	20,536	29,089	102,219	28,315	61,992	11,912	18,953
1998	155,815	47,606	22,529	25,077	108,209	34,127	63,628	10,454	19,674
1999	147,016	41,267	24,696	16,571	105,749	30,888	64,513	10,348	22,589
2000	143,134	36,611	24,557	12,054	106,523	28,581	68,266	9,676	24,954
2001	141,250	31,075	22,261	8,814	110,175	26,606	74,467	9,102	27,879
2002	158,805	33,724	26,596	7,128	125,081	25,643	90,024	9,414	36,124
2003	214,089	52,295	42,263	10,032	161,794	56,153	94,102	11,539	48,898
Quarterly									
2001 Q1	138,601	32,545	22,861	9,684	106,056	27,369	69,474	9,213	24,898
Q2	138,855	33,906	24,266	9,640	104,949	25,633	70,091	9,225	25,758
Q3	136,400	29,152	21,181	7,971	107,248	24,722	73,537	8,989	26,237
Q4	141,250	31,075	22,261	8,814	110,175	26,606	74,467	9,102	27,879
2002 Q1	143,395	31,027	21,470	9,557	112,368	27,171	76,000	9,197	28,886
Q2	146,721	30,356	21,982	8,374	116,365	27,329	79,949	9,087	31,058
Q3	152,291	30,814	23,622	7,192	121,477	26,052	86,421	9,004	32,525
Q4	158,805	33,724	26,596	7,128	125,081	25,643	90,024	9,414	36,124
2003 Q1	165,107	33,864	27,067	6,797	131,243	30,986	90,444	9,813	37,981
Q2	188,714	39,575	31,682	7,893	149,139	46,906	91,739	10,494	42,000
Q3	202,512	45,130	36,401	8,729	157,382	54,021	92,484	10,877	47,459
Q4	214,089	52,295	42,263	10,032	161,794	56,153	94,102	11,539	48,898
2004 Q1	231,846	65,766	52,170	13,596	166,080	59,742	94,654	11,684	51,213
Q2	232,581 R	68,584 R	54,420 R	14,164 R	163,997 R	60,695 R	92,073	11,229	56,271 R
Q3	241,242	72,262	56,773	15,489	168,980	62,228	94,664	12,088	56,745

Note: Components may not sum to total because of rounding.

Table 2
Number of Funds
 End of period

Period	Total	Investment Objective							Memo: Number of Funds with Preferred Share Classes	
		Equity Funds			Bond Funds					
		Total	Domestic	Global/ Internat'l	Total	Domestic Taxable	Domestic Municipal	Global/ Internat'l		
Annual										
1997	488	135	45	90	353	115	205	33	183	
1998	493	128	44	84	365	123	211	31	186	
1999	512	124	49	75	388	117	241	30	209	
2000	482	123	53	70	359	109	220	30	207	
2001	493	116	51	65	377	109	240	28	238	
2002	545	123	63	60	422	105	292	25	294	
2003	586	130	74	56	456	131	298	27	346	
Quarterly										
2002	Q1	501	116	52	64	385	110	249	26	245
	Q2	508	117	55	62	391	112	253	26	262
	Q3	524	120	59	61	404	112	267	25	273
	Q4	545	123	63	60	422	105	292	25	294
2003	Q1	549	122	63	59	427	109	293	25	317
	Q2	555	122	65	57	433	119	289	25	325
	Q3	575	126	70	56	449	128	295	26	339
	Q4	586	130	74	56	456	131	298	27	346
2004	Q1	596	141	82	59	455	131	297	27	356
	Q2	606	147	86	61	459	134	297	28	371
	Q3	608	149	88	61	459	134	296	29	372

Table 3

Share Issuance¹

Millions of dollars, end of period

Period	Total	Investment Objective							
		Equity Funds			Bond Funds				
		Total	Domestic	Global/ Internat'l	Total	Domestic Taxable	Domestic Municipal	Global/ Internat'l	
Annual									
2002	25,605	9,210	9,192	18	16,395	3,003	13,392	0	
2003	40,965	10,765	10,604	161	30,200	26,171	2,996	1,033	
Quarterly									
2002	Q1	3,534	593	591	2	2,941	804	2,137	0
	Q2	6,388	2,554	2,538	16	3,834	1,061	2,773	0
	Q3	7,644	3,580	3,580	0	4,064	319	3,745	0
	Q4	8,039	2,483	2,483	0	5,556	819	4,737	0
2003	Q1	6,299	1,140	1,100	40	5,159	4,505	654	0
	Q2	15,660	2,210	2,161	49	13,450	13,446	3	1
	Q3	13,364	4,183	4,183	0	9,181	7,061	1,705	415
	Q4	5,642	3,232	3,160	72	2,410	1,159	634	617
2004	Q1	13,552	11,376	8,391	2,985	2,176	2,152	3	21
	Q2	6,287	4,648	3,120	1,528	1,639	1,437	1	201
	Q3	3,136	2,341	1,799	542	795	329	0	466

¹ Net proceeds from underwritings, additional offerings, and other issuance.

Table 4
Number of Shareholders

End of period

Period	Total	Investment Objective							
		Equity Funds			Bond Funds				
		Total	Domestic	Global/ Internat'l	Total	Domestic Taxable	Domestic Municipal	Global/ Internat'l	
Annual									
2002	3,832,308	1,170,213	915,559	254,654	2,662,095	952,059	1,493,951	216,085	
2003	4,468,013	1,315,110	1,065,037	250,073	3,152,903	1,370,588	1,513,322	268,993	
Quarterly									
2002	Q1	3,550,200	1,015,417	737,171	278,246	2,534,783	991,932	1,323,269	219,582
	Q2	3,712,779	1,088,860	830,052	258,808	2,623,919	992,581	1,406,741	224,597
	Q3	3,790,151	1,145,757	886,743	259,014	2,644,394	985,353	1,440,275	218,766
	Q4	3,832,308	1,170,213	915,559	254,654	2,662,095	952,059	1,493,951	216,085
2003	Q1	3,936,676	1,151,449	904,945	246,504	2,785,227	1,096,787	1,469,182	219,258
	Q2	4,037,704	1,168,819	909,936	258,883	2,868,885	1,180,655	1,471,868	216,362
	Q3	4,201,186	1,208,489	968,186	240,303	2,992,697	1,270,983	1,485,624	236,090
	Q4	4,468,013	1,315,110	1,065,037	250,073	3,152,903	1,370,588	1,513,322	268,993
2004	Q1	4,650,937 R	1,409,075 R	1,147,759	261,316 R	3,241,862 R	1,374,674 R	1,528,036	339,152
	Q2	5,078,346 R	1,490,625 R	1,213,085 R	277,540 R	3,587,721 R	1,682,676 R	1,583,378	321,667 R
	Q3	5,165,212	1,531,208	1,254,169	277,039	3,634,004	1,721,077	1,578,956	333,971