

# INVESTMENT COMPANY INSTITUTE

## CLOSED-END FUNDS

2002:Q3

Table 1

### Total Net Assets

Millions of dollars, end of period

Period	Total	Investment Objective							Memo: Preferred Share Classes	
		Equity Funds			Bond Funds					
		Total	Domestic	Global/ International	Total	Domestic Taxable	Domestic Municipal	Global/ International		
<b>Annual</b>										
1996	144,449	45,692	19,626	26,066	98,757	26,785	58,676	13,296	13,705	
1997	149,015	49,808	20,443	29,365	99,207	26,349	59,867	12,991	15,624	
1998	152,897	47,178	22,323	24,855	105,719	32,868	62,025	10,826	15,885	
1999	142,688	40,398	24,075	16,323	102,290	28,982	62,665	10,643	17,071	
2000	137,294	35,310	23,256	12,054	101,984	26,801	65,171	10,012	17,055	
2001	139,998	30,876	22,084	8,792	109,122	24,445	75,255	9,422	25,391	
<b>Quarterly</b>										
1999	Q1	155,514	50,076	22,535	27,541	105,438	32,016	62,604	10,818	15,982
	Q2	159,913	55,956	23,732	32,224	103,957	31,511	61,780	10,666	15,992
	Q3	140,498	35,439	21,845	13,594	105,059	30,212	64,423	10,424	17,027
	Q4	142,688	40,398	24,075	16,323	102,290	28,982	62,665	10,643	17,071
2000	Q1	143,063	40,674	23,872	16,802	102,389	28,824	62,873	10,692	16,687
	Q2	139,729	38,852	23,496	15,356	100,877	28,253	62,210	10,414	16,687
	Q3	138,397	37,767	24,018	13,749	100,630	28,228	62,395	10,007	16,691
	Q4	137,294	35,310	23,256	12,054	101,984	26,801	65,171	10,012	17,055
2001	Q1	131,817	30,644	21,850	8,794	101,173	24,236	67,662	9,275	19,464
	Q2	130,693	31,784	23,012	8,772	98,909	22,440	67,210	9,259	19,687
	Q3	135,489	28,330	20,436	7,894	107,159	22,725	75,212	9,222	24,226
	Q4	139,998	30,876	22,084	8,792	109,122	24,445	75,255	9,422	25,411
2002	Q1	144,050	30,785	21,277	9,500	113,265	26,066	77,203	9,996	28,249
	Q2	146,610	30,834	22,499	8,335	115,776	25,706	80,086	9,984	30,193
	Q3	149,613	30,373	23,508	6,865	119,240	25,990	85,389	7,861	30,309

Note: Components may not sum to total because of rounding.

Table 2  
**Number of Funds**

End of period

Period	Total	Investment Objective							Memo: Number of Funds with Preferred Share Classes
		Equity Funds			Bond Funds				
		Total	Domestic	Global/ International	Total	Domestic Taxable	Domestic Municipal	Global/ International	
<b>Annual</b>									
1996	475	133	47	86	342	110	199	33	121
1997	483	138	47	91	345	112	201	32	137
1998	490	128	46	82	362	120	212	30	140
1999	500	117	46	71	383	112	241	30	141
2000	487	121	51	70	366	108	227	31	137
2001	530	124	58	66	406	107	271	28	209
<b>Quarterly</b>									
2002 Q1	502	115	52	63	387	108	252	27	234
2002 Q2	514	116	55	61	398	110	261	27	253
2002 Q3	527	118	58	60	409	112	272	25	256

Table 3  
**Share Issuance and Number of Shareholders**

Item	Total	Equity Funds			Bond Funds				
		Total	Domestic	Global/ International	Total	Domestic Taxable	Domestic Municipal	Global/ International	
<b>Share Issuance<sup>1</sup></b>									
(millions of dollars)									
2002 Q1	2,233	593	591	2	1,640	259	1,381	0	
2002 Q2	5,022	2,958	2,942	16	2,065	423	1,642	0	
2002 Q3	5,616	2,813	2,813	0	2,803	217	2,586	0	
<b>Number of Shareholders</b>									
(end of quarter)									
2002 Q1	3,155,772	866,428	679,878	186,550	2,289,344	812,072	1,323,643	153,629	
2002 Q2	3,257,988	917,094	745,287	171,807	2,340,894	824,822	1,357,259	158,813	
2002 Q3	3,285,481	895,206	721,924	173,282	2,390,275	835,117	1,412,615	142,543	

<sup>1</sup> Net proceeds from underwritings, additional offerings, and other issuance.