

RECORDINGS:

2008 OPERATIONS AND TECHNOLOGY CONFERENCE

CONFERENCE OVERVIEW

The theme of the Investment Company Institute's 2008 Operations and Technology Conference was "Capitalizing on Technology: Business Opportunities and Challenges." The conference was designed for operations, transfer agent, technology, and compliance professionals from the mutual fund industry.

CONFERENCE MATERIALS

ITEM # **MP3 COMPUTER CD**
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CONFERENCE RECORDINGS

Welcoming Remarks and Keynote Address

Donald Boteler
Vice President, Operations
Investment Company Institute

Paul Haaga
Vice Chairman
Capital Research and Management

The Regulatory Landscape for the Fund Industry

Stuart Bowers
Managing Director
Legg Mason & Co.

Kate Ives
Vice President and Deputy General Counsel
OppenheimerFunds, Inc.

Barry Miller
Associate Director
Division of Investment Management
U.S. Securities and Exchange Commission

Joseph P. Savage
Vice President, Investment Companies
Regulation
FINRA

Omnibus & Recordkeeping Arrangements – Industry Practices

Thomas J. Hamblin
President
Capital Bank & Trust Company

Sharon Ennis
Senior Vice President
Reliance Trust Company

David Long
Principal, Mutual Funds
Edward D. Jones & Co.

Brian O'Loughlin
Senior Director of Operations
Fidelity Investments Institutional
Operations Company, Inc.

Privacy: Beyond Protecting the Data

Robert Smith
Senior Vice President
Franklin Templeton

Ken DeJarnette
Principal
Deloitte & Touche, LLP

Carl Herberger
President
Allied InfoSecurity, Inc.

John H. Walsh
Associate Director and Chief Counsel, Office of
Compliance Inspections and Examinations
U.S. Securities and Exchange Commission

Oversight of Service Providers: Are You Doing the Right Things?

Matt Calabro
Senior Vice President
Heritage Asset Management

Nicholas D'Angelo
Director, U.S. Investment Management
and Real Estate Industry
PricewaterhouseCoopers LLP

Andrea Della Grotta
Director, Transfer Agent Risk
Management and Compliance
Fidelity Investments Institutional
Operations Company, Inc.

Ghassan Hakim
Senior Vice President
Franklin Templeton Investments

The Impact of Technology on Business and Society

Michael Rogers
MSNBC Columnist and Futurist-in-Residence
The New York Times Company

DTCC: The Power of Collaboration

Ann Bergin
Managing Director & General Manager,
Wealth Management Services
Depository Trust & Clearing Corporation

Stuart Bowers
Managing Director
Legg Mason & Co.

Nino Palermo
Vice President
American Funds

Mark Swenarton
Managing Director
Pershing

Total Engagement
Dr. Jim Harris
Author and Expert on Leadership and
Organizational Excellence

Exploring the DC Retirement Market
Sharon Ennis
Senior Vice President
Reliance Trust Company

Cynthia Hayes
Managing Partner
Oculus Partners, LLC

Andrew E. Oliphant
Director, Consulting and Client Services
The Newport Group

Shelly Antoniewicz
Senior Economist
Investment Company Institute

Keeping People Connected
Michael Radziemski
Chief Information Officer
Lord, Abnett & Co., LLC

Joe Boerio
Chief Technology Officer
Franklin Templeton Investments

Brad Sevenko
Industry Solution Specialist
Microsoft Financial Services Group

Michael Stubblefield
Managing Director
Putnam Investments

**ICI's Operations and Technology
Committees: Your Questions
Answered**
Donald Boteler
Vice President, Operations
Investment Company Institute

Douglas Anderson
Senior Vice President
Delaware Investments

Martin Burns
Director, Institutional Operations and Service
Investment Company Institute

Mary Corcoran
Senior Vice President
Invesco Aim Investment Services

Ghassan Hakim
Senior Vice President
Franklin Templeton Investments

Nino Palermo
Vice President
American Funds

Michael Radziemski
Chief Information Officer
Lord, Abnett & Co., LLC

Roundtable: Compelling Industry Issues
Dushyant Shahrawat
Senior Research Director, Investment Management
TowerGroup

Thomas M. Mistele
General Counsel and Chief Operating Officer
Dodge & Cox

Tammy Virnig
Principal
Vanguard Group

Paul Wise
Chief Technology Officer
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